

Fragmentation of Random Trees

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Z. Kalay and E. Ben-Naim, J. Phys. A **48**, 045001 (2015)
poster & paper available from: <http://cnls.lanl.gov/~ebn>

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Formation of a Random Tree

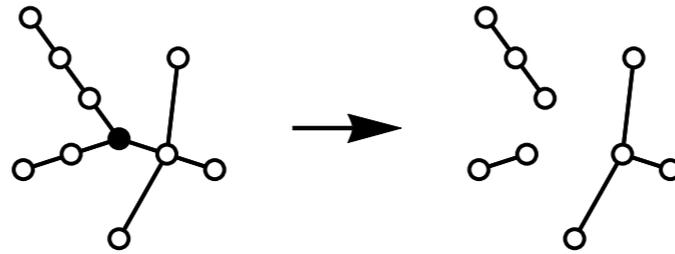
- Start with a single node, the root
- Nodes are added one at a time
- Each new node links to a randomly-selected existing node
- A single connected component with N nodes, $N-1$ links
- Degree distribution is exponential

$$n_k = 2^{-k}$$

- In-component degree distribution is power-law

$$b_s = \frac{1}{s(s+1)}$$

Fragmentation of a Random Tree



- Nodes are removed one at a time: many previous studies on removal of *links* [Janson, Baur, Bertoin, Kuba]
- When a node is removed, all links associated with it are removed as well
- **Random Forest:** a collection of trees formed by the node removal process
- Degree distribution of individual nodes is known (Moore/Ghosal/Newman PRE 2006)

What is the size distribution of trees in the forest?

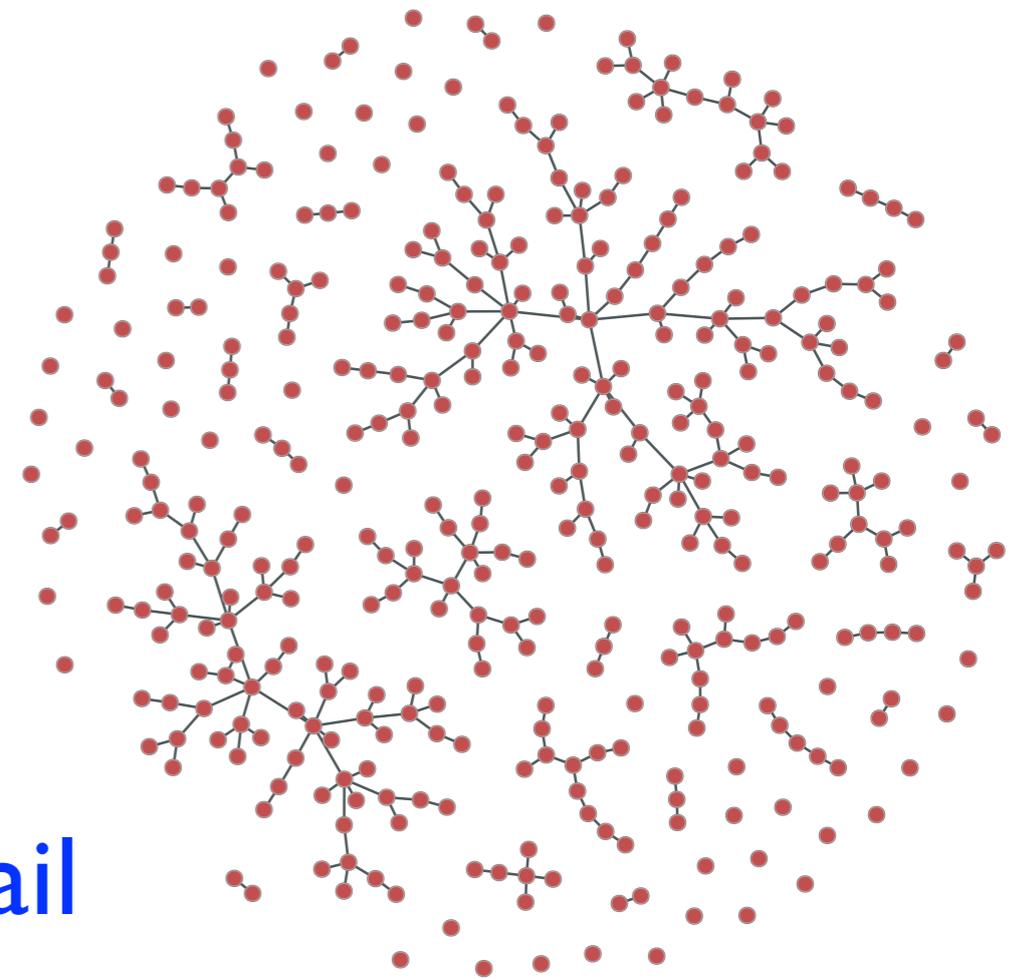
Main Result: Size Distribution of Trees in Random Forest

distribution of trees of size s is
controlled by one parameter:
fraction m of remaining nodes*

$$\phi_s = \frac{1 - m}{m^2} \frac{\Gamma(s)\Gamma(\frac{1}{m})}{\Gamma(s + 1 + \frac{1}{m})}$$

size distribution has a power-law tail

$$\phi_s \sim s^{-1-\frac{1}{m}} \quad \text{for} \quad s \gg 1$$



*exact result, valid in the infinite N limit

Removal of a Single Node

- Remove a single, randomly-chosen, node from a random tree with N nodes
- Let $P_{s,N}$ be the average number of trees with size s

- Two “conservation” laws

$$\sum_s P_{s,N} = \frac{2(N-1)}{N}$$

tree with N nodes has $N-1$ links
every link connects two nodes

and

$$\sum_s s P_{s,N} = N - 1$$

removal of a single node
reduces total size by 1

- Recursion equation (add node to original random tree)

$$P_{s,N+1} = \frac{N}{N+1} \left(\frac{s-1}{N} P_{s-1,N} + \frac{N-s}{N} P_{s,N} \right) + \frac{1}{N+1} (\delta_{s,1} + \delta_{s,N})$$

existing trees
grow in size due to new node

new trees
attributed to new node

Size Distribution of Trees

- Manual iteration of recursion equation gives

$$P_{s,2} = \left(\frac{1}{1 \cdot 2} + \frac{1}{1 \cdot 2} \right) \delta_{s,1}$$

$$P_{s,3} = \left(\frac{1}{1 \cdot 2} + \frac{1}{2 \cdot 3} \right) (\delta_{s,1} + \delta_{s,2})$$

$$P_{s,4} = \left(\frac{1}{1 \cdot 2} + \frac{1}{3 \cdot 4} \right) (\delta_{s,1} + \delta_{s,3}) + \left(\frac{1}{2 \cdot 3} + \frac{1}{2 \cdot 3} \right) \delta_{s,2}$$

$$P_{s,5} = \left(\frac{1}{1 \cdot 2} + \frac{1}{4 \cdot 5} \right) (\delta_{s,1} + \delta_{s,4}) + \left(\frac{1}{2 \cdot 3} + \frac{1}{3 \cdot 4} \right) (\delta_{s,2} + \delta_{s,3})$$

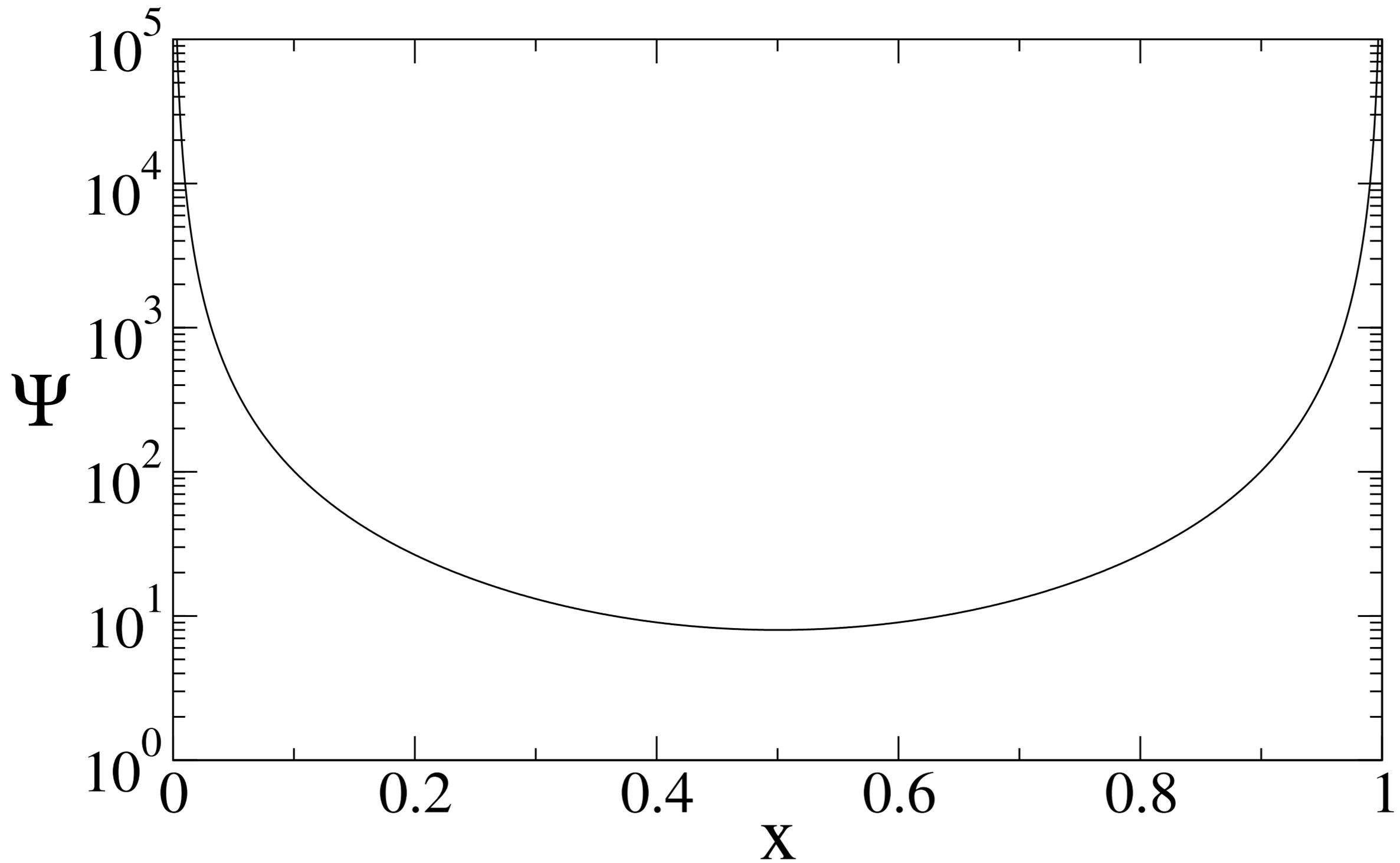
- By induction: incredibly simple distribution

$$P_{s,N} = \frac{1}{s(s+1)} + \frac{1}{(N-s)(N+1-s)}$$

- Scaling form

$$P_{s,N} \simeq \frac{1}{N^2} \Psi \left(\frac{s}{N} \right) \quad \Psi(x) = \frac{1}{x^2} + \frac{1}{(1-x)^2}$$

The Scaling Function



Iterative Removal of Nodes

- Remove randomly-selected nodes, one at a time
- Key observation: all trees in the random forest are statistically equivalent to a random tree!
- Treat the number of removed nodes as time t
- Let $F_{s,N}(t)$ be the average number of trees with size s at time t
- A single conservation law

$$\sum_s s F_{s,N}(t) = N - t$$

- Recursion equation (represents removal of one node)

$$F_s(t+1) = F_s(t) - \underbrace{sf_s(t)}_{\substack{\text{loss of trees} \\ \text{loss rate} = \\ \text{tree size}}} + \underbrace{\sum_{l>s} l f_l(t) P_{s,l}}_{\substack{\text{gain of trees} \\ \text{by fragmentation} \\ \text{of larger ones}}} \quad \text{with} \quad f_s(t) = \frac{F_s(t)}{\sum_s s F_s(t)} \quad \substack{\text{normalized} \\ \text{tree-size distribution}}$$

Rate Equation Approach

- Take the infinite tree-size limit: $N \rightarrow \infty$
- Treat time as continuous variable
- Recursion equation becomes a differential equation

$$\frac{dF_s}{dt} = -s f_s + \sum_{l>s} l f_l P_{s,l}$$

- Use limiting size distribution, fraction of remaining nodes

$$\phi_s(m) = \lim_{\substack{N \rightarrow \infty \\ t \rightarrow \infty}} \frac{F_{s,N}(t)}{\sum_s s F_{s,N}(t)} \quad \text{and} \quad m = \frac{N-t}{N}$$

- Problem reduces to the differential equation

$$(\alpha - 1) \frac{d\phi_s}{d\alpha} = (1 - s)\phi_s + \sum_{l>s} \left[\frac{l \phi_l}{s(s+1)} + \frac{l \phi_l}{(l-s)(l+1-s)} \right] \quad \alpha = 1 + \frac{1}{m}$$

fragmentation kernel = size distribution, single node removal

The Size Distribution

- *Miraculously, exact solution of the rate equation feasible*

$$\phi_s = \frac{1 - m}{m^2} \frac{\Gamma(s)\Gamma(\frac{1}{m})}{\Gamma(s + 1 + \frac{1}{m})}$$

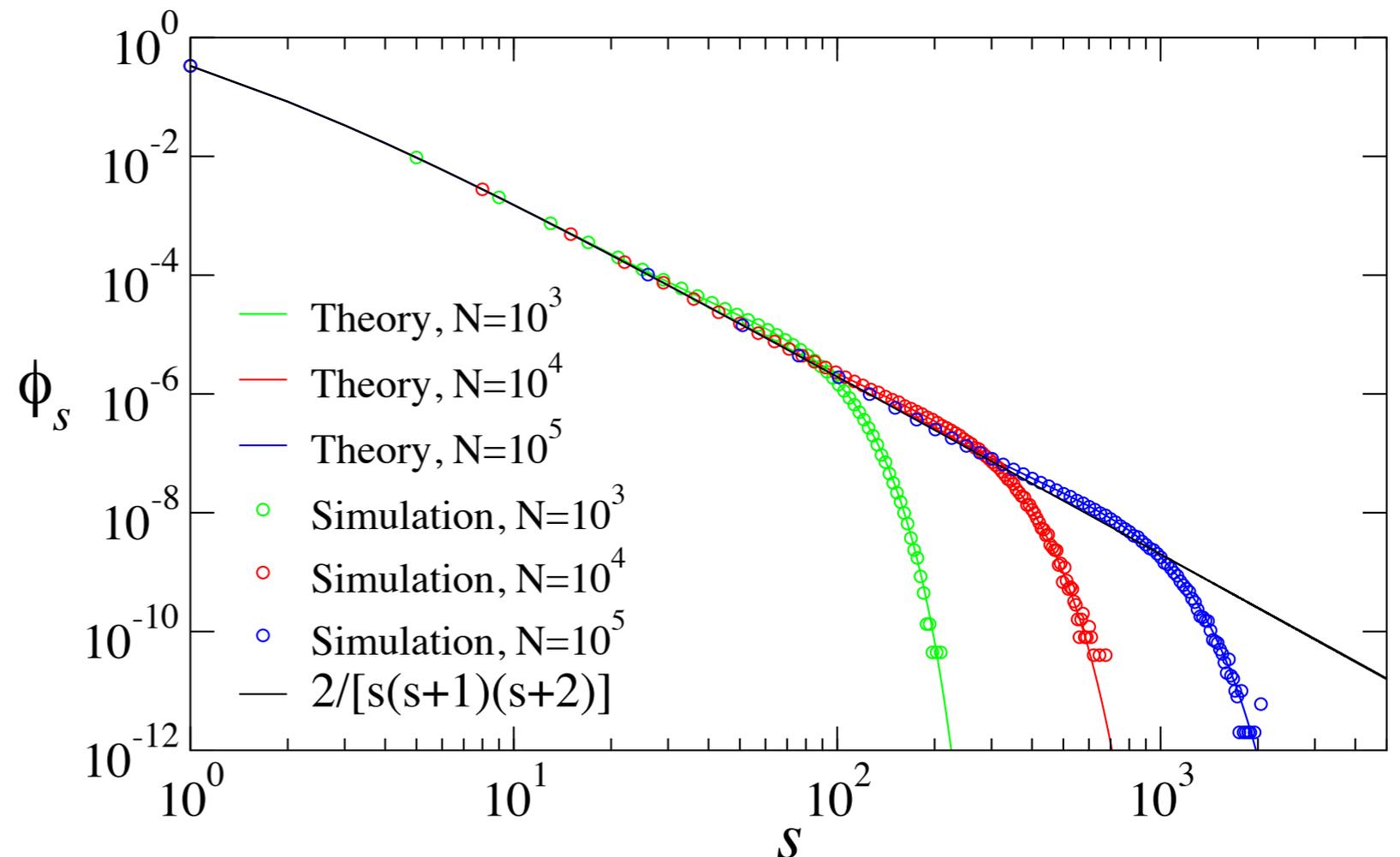
- **Power-law tail**

$$\phi_s \sim s^{-1 - \frac{1}{m}}$$

- **Special case**

$$m = 1/2$$

$$\phi_s = \frac{2}{s(s+1)(s+2)}$$



Addition and Removal of Nodes

- **Addition:** Nodes are added at constant rate r
- **Removal:** Nodes are removed at constant rate l
- **Outcome:** random forest with growing number of nodes
- **Straightforward generalization of rate equation**

$$\frac{dF_s}{dt} = r [(s-1)f_{s-1} - sf_s] - sf_s(t) + \sum_{l>s} l f_l(t) P_{s,l}.$$

- **Normalized distribution of tree size decays exponentially**

$$\phi_s \sim s^{-r} (1 - e^{-r})^s$$

Summary

- Studied fragmentation of a random tree into a random forest
- Nodes removed one at a time
- Distribution of tree size becomes universal in the limit of infinitely many nodes
- Distribution of tree size has a power law tail
- Exponent governing the power law depends only on the fraction of remaining nodes
- Rate equation approach is a powerful analysis tool